

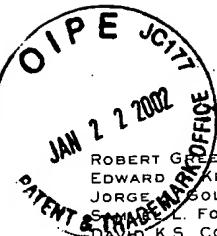
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*LIMITED TO MATTERS
AND PROCEEDINGS BEFORE
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January 22, 2002

WRITER'S DIRECT NUMBER:

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Commissioner for Patents
Washington, D.C. 20231

Art Unit 1633

Re: U.S. Utility Patent Application
Appl. No. 09/964,678; Filed: September 28, 2001
For: **Transgenic Animals and Cell Lines for Screening Drugs Effective for
the Treatment or Prevention of Alzheimer's Disease**
Inventors: de la Monte *et al.*
Our Ref: 0609.4370002/RWE/FRC

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Amendment and Submission of Substitute Sequence Listing Under 37 C.F.R. § 1.825(a);
2. Copy of Notice to File Corrected Application Papers;
3. 16 sheets of drawings (Figures 1A, 1B, 1C, 2A-2F, 3A-3B, 3C-3F, 4A-4H, 5A-5B, 6A-6G, 7A-7B, 7C, 8A-8B, 8C-8D, 9A-9B, 10A-10B and 11-12);
4. 11 pages of a paper copy of the Substitute Sequence Listing;
5. A computer readable copy of the Substitute Sequence Listing; and
6. Return Postcard.

Commissioner for Patents
January 22, 2002
Page 2

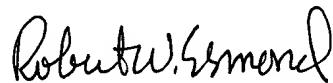
In accordance with 37 C.F.R. § 1.825(b), the paper copy of the sequence listing and the computer readable copy of the sequence listing submitted herewith are the same.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Robert W. Esmond
Attorney for Applicants
Registration No. 32,893

RWE/FRC
Enclosures

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/964,678	09/28/2001	Suzanne De La Monte	0609.4370002

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CONFIRMATION NO. 3649

FORMALITIES LETTER



OC000000007107619

Date Mailed: 11/21/2001



NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov**

*A copy of this notice **MUST** be returned with the reply.*

[Signature]
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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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